

AVVISO PUBBLICO, PER TITOLI E COLLOQUIO, PER L'ASSUNZIONE A TEMPO DETERMINATO DI N. 1 POSTO DI COLLABORATORE PROFESSIONALE DI RICERCA SANITARIA – CATEGORIA D – CON LAUREA TRIENNALE IN BIOLOGIA O IN BIOTECNOLOGIE, NELL'AMBITO DEL PROGETTO CODICE PNC-E3-2022-23683266 PNC-HLS-DA, CUP H83C22000910001, DAL TITOLO "ITALIAN NETWORK OF EXCELLENCE FOR ADVANCED DIAGNOSIS (INNOVA), FINANZIATO DAL MINISTERO DELLA SALUTE NELL'AMBITO DEL PIANO NAZIONALE COMPLEMENTARE ECOSISTEMA INNOVATIVO DELLA SALUTE, CODICE UNIVOCO INVESTIMENTO: PNC-E.3 DEL PIANO COMPLEMENTARE AL PNRR , DA ASSEGNARE AI LABORATORI DI RICERCA SPERIMENTALE E TRASLAZIONALE DELL'ISTITUTO REGINA ELENA (IRE), P.I. PROF. GENNARO CILIBERTO.

- 1) Descrivere le diverse tecnologie di NGS (next generation sequencing), che prevedono differenti approcci di preparazione delle librerie e differenti metodiche di sequenziamento.
- 2) Biopsia liquida in oncologia descrivere le principali applicazioni cliniche, il materiale biologico che può essere analizzato e i principali analiti
- 3) Biopsia liquida in oncologia descrivere le principali applicazioni cliniche, vantaggi e limiti del test
- 4) Definizione di DNA libero circolante e di DNA tumorale circolante e descrizione delle principali metodiche che possono essere utilizzate per individuare mutazioni tumore specifiche
- 5) Descrivere i passaggi della fase preanalitica relativi all'isolamento del DNA tumorale circolante da un fluido biologico
- 6) Descrizione delle principali metodiche che vengono utilizzate in biopsia liquida in oncologia in particolare: real time PCR, digital PCR, NGS (vantaggi e svantaggi)
- 7) Ruolo dei microRNA circolanti in oncologia
- 8) Tecnologia di next generation sequencing (NGS): descrivere brevemente di cosa si tratta e quali sono i principali passaggi nell'esecuzione della metodica con un approccio di "ibridazione e cattura"
- 9) Tecnologia di next generation sequencing (NGS): descrivere brevemente di cosa si tratta e quali sono i principali passaggi nell'esecuzione della metodica con un approccio "amplicon based"
- 10) Descrivere le differenze tra whole exome sequencing, targeted next generation sequencing e RNA-sequencing



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Prova colloquio 4 dicembre 2023

Domande concorso:

1) Cos'è il provider?

2) Cosa significa Login?

3) Cos'è word?

4) Cos'è un database?

5) Che cos'è il back-up?


6) A cosa serve Power Point?

7) Definizione di motore di ricerca:

8) A cosa serve Access?

9) Definizione di Browser

10) Cosa è la PEC





The evolving role of liquid biopsy in lung cancer

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ABSTRACT

Liquid biopsy has revolutionized the management of cancer patients. In particular, liquid biopsy-based testing has proven to be highly beneficial for identifying actionable cancer markers, especially when solid tissue biopsies are insufficient or unattainable. Beyond the predictive role, liquid biopsy may be a useful tool for comprehensive tumor genotyping, identification of emergent resistance mechanisms, monitoring of minimal residual disease, early detection, and cancer interception. The application of next generation sequencing to liquid biopsy has led to the “quantum leap” of predictive molecular pathology. Here, we review the evolving role of liquid biopsy in lung cancer.

1. Introduction

Liquid biopsy refers to the analysis of different biofluid-derived analytes [1,2]. In the liquid biopsy landscape, blood constitutes the principal biofluid and, in cancer patients, plasma-derived circulating tumour DNA (ctDNA) is the most commonly analyzed derivative [3–5]. First identified in 1977, ctDNA consists of a small fraction of cell-free DNA (cfDNA) that is released from tumor cells into biofluids through either passive (apoptosis or necrosis) or active mechanisms [1]. In the last decades, with the advent of personalized medicine and the increasingly growing number of predictive biomarkers, liquid biopsy has acquired a pivotal role in treatment decision-making [6–8].

In particular, liquid biopsy-based testing has proven to be highly beneficial for identifying actionable cancer markers, especially when solid tissue biopsies are insufficient or unattainable [9]. Advanced non-small cell lung cancer (NSCLC), or more specifically, lung adenocarcinoma, is a case in point: Food and Drug Administration (FDA) approval of liquid biopsy for the detection of epidermal growth factor receptor (*EGFR*) mutations in 2016, ctDNA analyses have evolved from being a research oriented alternative to tumor tissue for *EGFR* mutational testing to being an optimal source for a wide variety of emerging clinical applications [10]. Among these are, comprehensive tumor genotyping, identification of emergent resistance mechanisms, monitoring of

minimal residual disease (MRD), early detection, and cancer interception [11–13]. This revolution has been hastened by the terrific advances in molecular technologies, such as next generation sequencing (NGS). Indeed, unlike conventional molecular biology assays (Sanger Sequencing and Real-Time PCR (RT-qPCR)), multiplexed sequencing platforms have a much higher sensitivity and specificity for the characterization of ctDNA, with its high bandwidth for multiple markers leading to tissue preservation for additional assays [14].

The application of NGS to liquid biopsy has thus led to the “quantum leap” of predictive molecular pathology, hence opening the door to the “dynamic era” of precision oncology. In addition, as we showed in our previous work, the “marriage” of biological and mathematical sciences has generated new ways of understanding and implementing liquid biopsy in clinical trials and in routine settings [15]. Taking into account all the advancements herein discussed, we will review the evolving role of liquid biopsy in lung cancer.

2. Current applications of liquid biopsy in NSCLC

The first FDA approval of a liquid biopsy ctDNA-based test occurred rather recently in 2016 [10,16]. The approval was granted soon after the ENSURE study reported a high concordance rate between the plasma and tissue-based tests, particularly 76.7 % in tissue positive patients and

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98.2 % in tissue negative patients [17]. Marketed as cobas® *EGFR* mutation test (cobas® *EGFR* mutation test v2, Roche Molecular Systems, Inc.) this plasma test was designed as a companion diagnostic test to detect *EGFR* exon 19 deletions or exon 21p.L858R mutation in NSCLC patients potentially eligible for erlotinib treatment [10,16]. Since then, numerous prospective and retrospective studies have followed, consistently confirming the high degree of sensitivity and specificity of these tests for druggable biomarkers. Today, liquid biopsy is considered an easily available complement to tissue biomarkers testing to select patients not only for first-line targeted treatments by identifying targetable genomic alterations (e.g., *EGFR* mutations) but also for second-line targeted therapy by identifying specific emergent resistance mutations [18] ctDNA analysis in these two settings has been validated in many clinical trials, with newer, more innovating concepts still underway. One major goal of these trials has been to confirm its ability to serve as a companion diagnostic test to detect *EGFR* exon 19 deletions or exon 21p.L858R mutation alongside RT-qPCR approaches.

Indeed, the approval of liquid biopsy-based tests has been a crucial game changer for advanced NSCLC patients. Prior to its advent, many metastatic patients were potentially undertreated either because their tumor specimens for conventional *EGFR* testing were insufficient or unattainable, or because they presented with comorbidities [19]. For many patients, this scenario completely changed after the FDA approval of a liquid biopsy alternative.

Optimal isolation of ctDNA was a subject of intensive study in the early days of the field. For instance, Kimura *et al.* evaluated serum as a non-invasive integrated source of nucleic acids for NSCLC clinical management [20]. In brief, they assessed *EGFR* mutations in 27 NSCLC patients by applying a refractory amplification system on serum specimens before first line-TKI administration. Overall, *EGFR* sensitive mutations were detected in 13/27 patients (48.1 %). Moreover, the clinical outcomes showed a statically significant association with the predictive value of serum-based *EGFR* molecular alterations. At that time, *EGFR* testing was not required for gefitinib treatment, thus it was remarkable that the median PFS was higher in NSCLC patients with *EGFR* mutations detected in serum samples than in *EGFR* mutation negative patients (200 versus 46 days; $P = 0.005$, log-rank test). In addition, to further confirm their data, the authors carried out a retrospective analysis of the *EGFR* status of $n = 11$ corresponding tissue specimens. Gratifyingly, the molecular results indicated a strong concordance between tissue and serum specimens in 8/11 (72.7 %) patients [20]. Kimura *et al.* also performed a second clinical trial involving a cohort of Japanese NSCLC patients ($n = 42$) to determine the predictive value of serum specimens in the clinical stratification of tumor patients under gefitinib administration. For this purpose, they compared the sensitivity and specificity of patient tumor and serum specimens for *EGFR* [21]. Even in this case, they observed a high concordance rate (39/42; 92.9 %) for *EGFR* molecular profiling between tissue and serum specimens ($P < 0.001$). Remarkably, the clinical outcomes also confirmed the robustness of the molecular data on serum specimens. Like in their previous study, the PFS of patients with *EGFR* sensitive mutations was higher than that of *EGFR* wild-type NSCLC patients (194 vs 55 days, $P = 0.016$, in tumor samples; 174 vs 58 days, $P = 0.044$, in serum samples) [21]. In contrast to serum, Mack *et al* investigated plasma as a source of ctDNA, demonstrating that patients treated on an erlotinib-docetaxel regimen has significantly improved response rates and PFS when *EGFR* mutations were detected in plasma [22]. Subsequently, double clarified plasma has become the standard for blood-based ctDNA isolation [4,23].

The use and reliability of liquid biopsy as an integrative source for *EGFR* molecular testing in clinical settings has also been investigated in other international clinical trials involving much larger cohorts. One of these is the IGNITE trial [24]. The trial enrolled $n = 2,581$ NSCLC patients. Molecular analysis, as well as data interpretation, was successfully carried out in all patients by using different techniques from each participating center. In brief, molecular analyses on plasma specimens showed a technical sensitivity $< 50\%$ in comparison with gold standard

tissue analyses. In addition, a cohort of 1,162 treatment-naïve NSCLC patients was evaluated by comparing plasma and matched tissue specimens. Even in this case, molecular data highlighted a concordance rate of 89.0 % in matched tissue and plasma specimens with a sensitivity and specificity of 46.0 % and 97.0 %, respectively. Interestingly, the overall clinical response of a subset of $n = 25$ patients with *EGFR* wild-type NSCLC positive tissue specimens was consistent with that of patients with *EGFR*-mutation positive plasma specimens [25].

All these data strongly underline the predictive value of liquid biopsy as an integrated source in the molecular assessment of *EGFR* in the clinical management of NSCLC patients. However, despite these promising results, a lack of harmonization between the different technical approaches conventionally used for molecular analysis still remains an open challenge [25].

This problem, however, has been largely solved by the recent application of NGS to plasma specimens. Indeed, NGS, while revolutionizing tissue testing, has emerged as a powerful tool when applied to the liquid biopsy arena. Today, several commercially available platforms have been developed to detect multiple genomic alterations across dozen to hundreds of cancer-informative genes, including mutations, small insertions and deletions, gene amplification events and gene fusions. The clinical use of these platforms is robustly supported by numerous molecular data indicating a high degree of agreement between plasma and tissue NGS [23,26–28].

As the great advantage of NGS-based platforms is the ability to evaluate multiple genomic alterations simultaneously, it has paved the way for the upfront use of plasma NGS to perform thorough genotyping of treatment-naïve advanced/metastatic patients. Equally important, this approach has drastically reduced the turnaround time from sampling to initiation of treatment [29], thereby allowing an increased percentage of patients to receive timely targeted therapies [28,29].

On the basis of these results, proponents of liquid biopsies have advocated the use of a “blood first” approach in advanced NSCLC patients as a useful diagnostic strategy either concurrently or sequentially to histologic review when tumor tissue is either scant or insufficient for comprehensive tumor genotyping [4,30]. An important aspect highlighted in the study by Rolfo *et al.* is the handiness of this approach especially during global health crises such as the recent COVID-19 pandemic. In effect, during the recent COVID-19 pandemic, invasive diagnostic procedures were either delayed or totally suspended to reduce the risks of contagion [31,32]. Such safety measures resulted in the denial of some patient access to hospitals and created health care backlogs in all medical fields, not the least of which was cancer care. The authors argue that the use of liquid biopsy in such grim scenarios constitutes an important diagnostic tool for reducing the potential risks of contagion for patients with cancer by limiting clinic visits or hospitalizations [32]. Moreover, owing to the short turnaround time of test results, we speculate that it could also be fully exploited to reduce the backlogs and waiting lists caused by the pandemic. Supporting the usefulness and efficiency of liquid biopsy in cancer care is a very recent study highlighting how a blood-first ctDNA-NGS analysis in subjects with suspected advanced-stage lung cancer increases the breadth and rapidity of detection of actionable variants compared to tissue analysis, leading to timely treatment decisions [33].

The variable structures of ctDNA are not fully appreciated and are still under scrutiny, as suggested by the vast literature available so far. Generally, ctDNA consists of degraded DNA fragments, usually of 167 base-pairs in length, that are released into the bloodstream [34–36]. The specific length likely results from the action of a caspase-dependent endonucleases that cleaves DNA after a core histone and its linker. Interestingly, however, recent studies have shown a distinct nuclear fragmentation pattern, with variable fragment lengths of ctDNA, depending on the origin of tissues [37–41]. Several different types of ctDNA have been described in the bloodstream, including double-stranded (ds) and single-stranded (ss) DNA particles. In humans, although ctDNA originates from all cells, the vast majority stems from

3

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54

hematopoietic tissues [42–44]. Within the ‘classical’ ctDNA, more specific subclasses can be distinguished according to their site of origin or mechanisms of release. Among these are mitochondrial DNA (mtDNA) [45], cell-free fetal DNA (cffDNA) [46,47], extrachromosomal circular DNA(48), and microbial DNA (mbDNA) [48–50].

Decade-long efforts have been dedicated to investigating ctDNA. The first record of ctDNA dates back to 1948 when Mandel and colleagues detected for the first time extracellular DNA in serum and plasma [50]. However, it was not until 30 years later that it was associated with cancerous tissues. Indeed, a 1977 study reported the presence of higher levels of ctDNA in patients with pancreatic cancer than in healthy controls[51].

Such finding led to the concept that tumors released DNA fragments in the bloodstream. Inspired by this intriguing hypothesis, the next decades witnessed burgeoning research in this field. For example, Shapiro and colleagues in 1983 confirmed a correlation between benign versus malignant tumors and ctDNA concentration [52]. A few years later, Stroun et al. (54) provided compelling evidence that some of these DNA fragments were of tumor origin because of their genomic instability. Still, in the early 1990 s, two independent studies noted the presence of specific *KRAS* proto-oncogene GTPase (*KRAS*) and *NRAS* proto-oncogene GTPase (*NRAS*) mutations in ctDNA from pancreatic adenocarcinoma [54] and acute myelogenous leukemia [54,55]. This fraction of ctDNA was later termed as circulating tumor DNA (ctDNA).

In detail, ctDNA refers to ctDNA fragments that are released into the bloodstream from primary tumor sites or metastatic cells and display tumor-specific point mutations, chromosomal rearrangements, copy-number variation (CNV), and DNA methylation [56–58]. Importantly, ctDNA is typically fragmented than ctDNA, resulting in a much higher < 100 bp fraction in plasma [59]. The 10-bp periodicity observed for fragments smaller than 167 bp [36,60] corresponds to a turn of the DNA helix wrapped around the histone. Studies suggest that this might protect one part of the DNA from the nucleases present in blood. This specific fragmentation pattern suggests that apoptosis may be a major source of ctDNA and that histones may be the key protein complex associated with DNA in the blood. On the other hand, the release of longer ctDNA fragments from tumor cells has been associated with necrotic cell death and occurs in living cells via active processes [44,61,62];

ctDNA presents several relevant characteristics that support its integration in oncology practice. For example, the ctDNA fragments that are released into the bloodstream mirror the tumor status, its evolution, and the genomic alterations present in primary and/or metastatic tumors [44]. In a pan-cancer study involving 640 patients, Bettgeowda et al., [63] demonstrated that ctDNA analysis might allow clinicians to monitor the therapeutic response by tracking drug resistance, and, in some cases, early detection of localized malignancies. They also pointed out a correlation between tumor burden and the stages of the disease. Indeed, they saw significant differences between ctDNA levels depending on the cancer types, and a median ctDNA concentration that was 100-fold higher in stage IV patients than in stage I patients [63].

Another important characteristic in terms of clinical utility is that ctDNA has a notably short half-life in the bloodstream [64]. This means that it can be of great help both to analyze the dynamics of mutations and the tumor burden after surgery and to track patient responses to systemic treatments throughout the course of the disease. Thus, ctDNA analysis may be used to monitor real-time tumor dynamics for early prediction and assessment of drug response, as well as to determine early intervention independently of imaging examinations or clinical symptoms [58,65].

Recent studies are also investigating whether ctDNA could be exploited to detect early stage cancers before symptoms appear. In this regard, in 2021, a research group built an intriguing mathematical model to predict the shedding rate of early-stage NSCLC [66]. The model estimated an average of only 1.7 genome copies of ctDNA in 15 mL of blood for lung tumors with a volume of 1 cm³, thereby suggesting that

highly sensitive sequencing techniques are needed to harness the diagnostic potential of ctDNA [37]. In practice, the detection of ctDNA requires the presence of known tumor mutations that can be readily detected by simple sequencing techniques. With the advent of comprehensive cancer genomics it is well understood that all cancers harbor somatic alterations. Hence, some researchers argue that since cancer somatic mutations occur at minor frequencies in normal cell populations, ctDNA can provide impeccably specific diagnostic biomarkers from a biological perspective [67].

Besides being exploited for diagnostic purposes, ctDNA detection could also be used to monitor drug response. In this regard, some historic studies provide temporal analyses of the total tumour burden and of specific mutations that appear during therapy [61,68–73]. Identification of somatic mutations within white blood cells might be a recurring source of discordance between tumor and total ctDNA genotyping. This phenomenon, called clonal hematopoiesis (CH), is an aging-related phenomenon, whereby non-malignant hematopoietic stem and progenitor cells acquire somatic alterations that confer a selective advantage [74]. CH-derived mutations present in a similar fashion to tumor-derived mutations when detected in plasma. Thus, a plasma ctDNA measurement may involve both canonical CH genes—such DNA methyltransferase 3 alpha (*DNMT3A*), methylcytosine dioxygenase 2 (*TET2*), *ASXL* transcriptional regulator 1 (*ASXL1*), and Janus kinase (*JAK*)—and cancer driver mutations—such as, *KRAS*, phosphatidylinositol-4,5-bisphosphate3-kinase catalytic subunit alpha (*PIK3CA*), and epidermal growth factor receptor (*EGFR*) mutations [75–78]. Because hematopoietic cells are the primary source of ctDNA [79] and contribute somatic variants to the ctDNA pool [76,77], some studies have proposed several approaches to distinguish mutation-derived CH from their tumor-derived counterparts [75,77,80]. One often-cited way to discriminate between CH and tumor ctDNA is to examine the relative differences in mutant allele frequencies (MAFs), but this can be precarious and misleading proposition, as tumor metastatic lesions and heterogeneous sub-clones often result in MAF variances. Some investigators have indicated that CH and tumor ctDNA have fragments of a different size and distribution that might also help to distinguish between the two [81–83].

Chan et al., [84] elegantly elaborate on further clinical implications of the CH phenomenon. In addition to considering CH before implementing ctDNA analysis in the clinic strict guidelines and standard operating procedures need to be formulated. Factors like pre-analytical standardization need to be well optimized. Various attempts have been made to achieve this end. For instance, the CANCER-ID consortium, funded by both public and private sector units, was created to establish standard protocols for and clinical validation of ctDNA- and circulating tumour cell (CTC)-based biomarkers. Based on the efforts of the CANCER-ID consortium, Lampignano et al., [85] highlighted the importance of harmonizing the overall pre-analytical and analytical workflow of ctDNA purification, quantification, and characterization by comparing the preanalytical and analytical workflows of ctDNA-based techniques in multiple diagnostic centers. In an earlier study, Grolz et al., [86] focused specifically on the standardization of specimen preservation. In this regard, the authors underlined the importance of standardizing blood product preservation procedures after blood drawn for use as liquid biopsies and reviewed the most valid preservation solutions that are currently available. Thus, by virtue of entities like the CANCER-ID or the International Liquid Biopsy Standardization Alliance (ILSA) [87], it is now apparent that it is paramount to validate and optimize the use of this highly valuable and cost-effective approach in order to implement global use of liquid biopsy in oncology practice.

As previously mentioned, the growing implementation of ctDNA-based biomarker assessment in NSCLC advanced stage clinical practice as a complement to tissue-based testing is mainly driven by the difficulty in obtaining sufficient tumor material via invasive tissue biopsy. One reason for this hindrance is that most lung cancer patients present with advanced-stage NSCLC and are not eligible for curative surgical

6

treatment. This contributes to a high prevalence of small-specimen biopsies. Not surprisingly, tissue biopsy failure/inadequacy is estimated to affect up to 43 % of patients with NSCLC, limiting optimal first-line treatment selection or subsequent treatments following disease progression. In this scenario, liquid biopsy could serve as an integral solution for molecular diagnosis in NSCLC patients with limited or inadequate tissue biopsies.

Despite the undisputable advantages of biomarker testing, its full implementation in Europe is still lagging because of several barriers, including access, reimbursement, and technical limitations; such limitations have all been thoroughly reviewed in previous research [42]. However, in this article, we explore one other key reason: tissue unavailability (Fig. 1). In a recent review, we highlighted that biomarker testing is often not fully exploited because not all patients with NSCLC undergo tissue biopsy. For example, in one study it appears that only 7 % of patients with NSCLC in Italy and 15 % of patients with NSCLC in Spain do not undergo a tissue biopsy [42]. Consequently, biomarker testing in NSCLC is drastically underutilized for the very reason that tissue samples are either quantitatively insufficient or qualitatively inadequate [41,46,54]. Similar conclusions were drawn in the IASLC global survey on molecular testing in lung cancer [46]. Indeed, the survey revealed that molecular diagnosis failed either because of insufficient tumour cells (93 % of respondents) or because of inadequate tissue quality (55 %). Several authors have highlighted that the acquisition of adequate tissue biopsies in NSCLC can be particularly challenging for two main reasons: the first is that tumor sites in patients with advanced NSCLC are often difficult to access; the second is that invasive biopsies are associated with bleeding and pneumothorax complications [58,59]. For example, the incidence of pneumothorax complications in patients undergoing transthoracic needle biopsy is approximately 20 % (ranging from 9 to 54 %) [60]. Moreover, even when technically feasible, not all biopsies provide enough tissue for molecular diagnosis. Similar study results [59,61–63] have indicated that tissue biopsy may not be feasible in approximately 20 % of patients, and even when biopsy is feasible, samples are inadequate for testing (molecular diagnoses and/or histological diagnosis) in up to a quarter of cases. Overall biopsy failure rates seem to vary considerably, ranging from 8 % to 43 %. The proportion of patients with initially unsuccessful tissue biopsies that have been salvaged via re-biopsy have not yet been reported for European cohorts.

Diagnostic tests do not always produce uniform yields (i.e., the proportion of biopsies permitting a successful pathological diagnosis)

but vary from one biopsy technique to another, thereby underlying the problem of “disharmonization” mentioned above. For example, endobronchial ultrasonography (EBUS) ranges from 58 to 70 %, CT-guided transthoracic needle aspiration from 80 to 96 %, EBUS transbronchial needle aspiration (TBNA) up to 94 %, and thoracoscopy from 93 to 94 % [68–72]. In an attempt to understand the discrepant molecular results obtained by different biopsy techniques, the ongoing German, prospective, and randomised PROFILER study is currently investigating how biopsy techniques can affect molecular genetic tumor characterization in NSCLC [73]. As a guiding principle, the authors recommend that the least invasive biopsy techniques that provide maximum tissue yields be used to establish molecular diagnoses and minimize the risk of bleeding and other complications. Following this recommendation, many more European institutions should strongly advocate the use of liquid biopsy in routine practice to guarantee the largest possible number of patients the clinical benefits of a test as simple and harmless as a blood draw. Indeed, if one considers that 40,000 NSCLC patients do not receive biomarker testing annually and that the inability to do so in 40 % of these cases is due to unfeasible/inadequate tissue biopsy, it should not be surprising that if liquid biopsy coupled with NGS were introduced in routine clinical practice, 16,000 patients in Europe would be able to obtain a molecular diagnosis every year.

Several European clinical guidelines/position papers recommend the use of liquid biopsy for patients with NSCLC in certain settings. For instance, whereas the ESMO NSCLC guidelines recommended it for detecting p.T790M mutations in the context of EGFR TKI therapy (36) the ESMO PMWG and the IASLC statement papers on liquid biopsy recommend it in all advanced NSCLC regardless of the driver mutation [43,74]. In particular, the ESMO PMWG report recommends that a tumor or plasma sample from a patient with advanced non-squamous NSCLC be profiled using NGS technology to detect ESCAT level I alterations [43]. On the other hand, the IASLC statement paper recommends that frontline liquid biopsy testing be considered in all patients who require molecular tumor profiling, particularly when tissue is scarce or unavailable, and when tumor biopsies are expected to be significantly delayed [74]. Fig. 2 summarizes specific recommendations for treatment-naïve patients and patients who progress, either clinically or radiologically, during treatment with first- or second-generation EGFR TKIs [75]. In both populations, although a positive finding would provide sufficient evidence to initiate appropriate targeted treatment, a negative liquid biopsy result should be considered inconclusive and

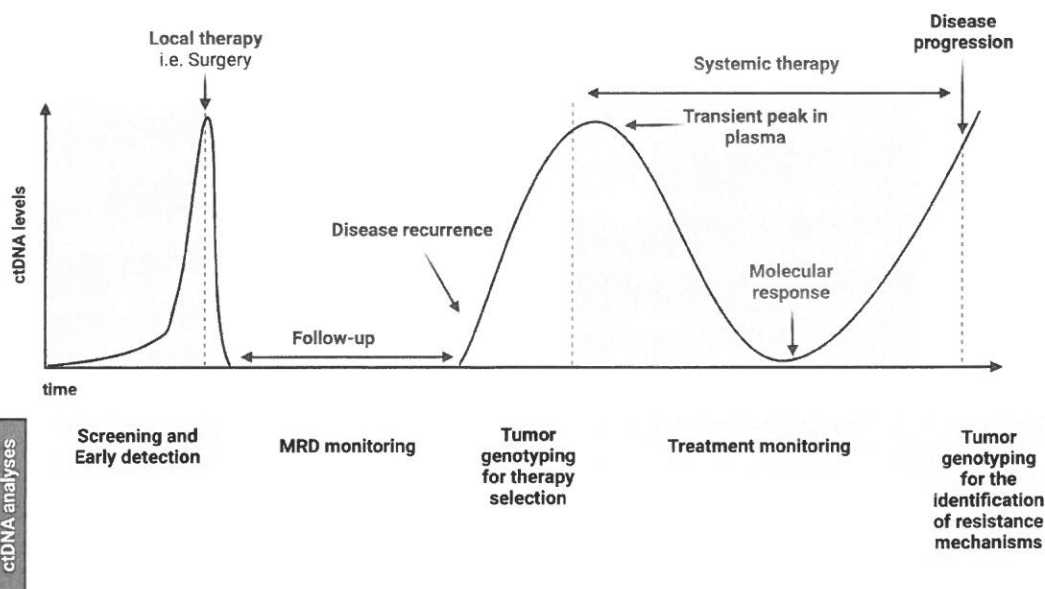


Fig. 1. Potential applications of ctDNA analyses during the course of lung cancer patient journey. Credit: Created with BioRender.com.

56

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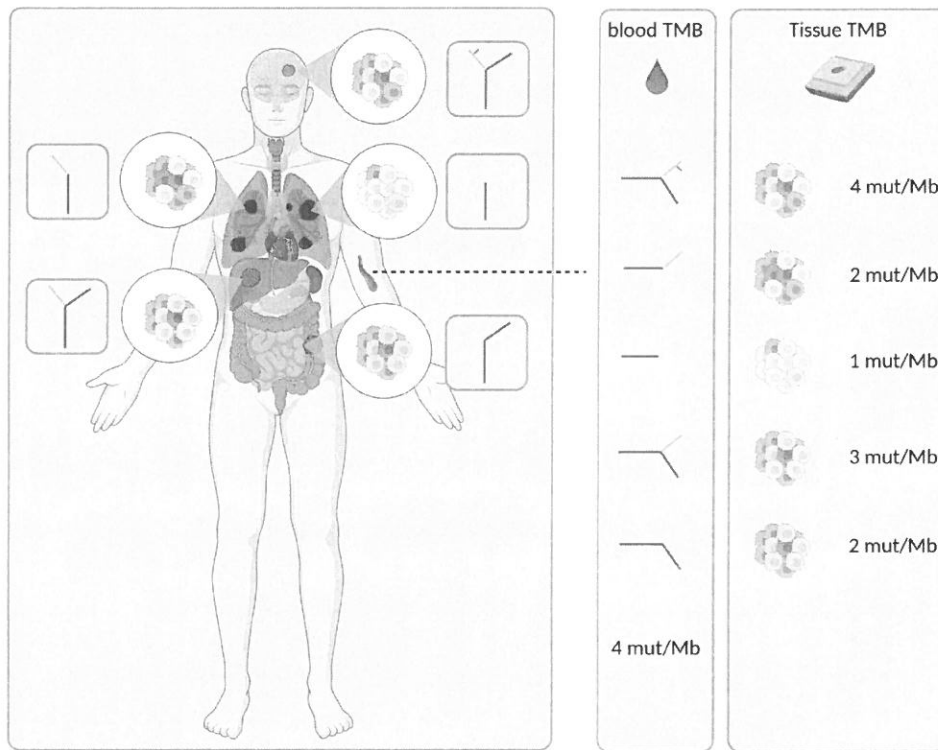


Fig. 2. Potential pitfalls in the overall evaluation of Tumor Mutational Burden (TMB) on ctDNA versus tissue-based analysis of primary tumor and metastatic sites. The simultaneous detection of all non-synonymous mutations in ctDNA is not always representative of the tissue private mutations Credit: Created with Bio-Render.com.

should require secondary testing. For this reason, the IASLC recommendations highlight the complementary nature of tissue and liquid biopsies in the NSCLC setting.

The liquid biopsy testing landscape is rapidly changing in other countries. For instance, whereas single gene liquid biopsies have been approved for several years in the USA, pan-tumor, NGS-based liquid biopsy companion diagnostics (Guardant360® CDx and FoundationOne® Liquid CDx (Foundation Medicine, Cambridge, MA, USA) was only recently approved by the FDA in 2020 [53,88–90]. Furthermore, the updated molecular testing guidelines from the College of American Pathologists (CAP), IASLC, and the Association for Molecular Pathology (AMP) now recommend the use of multiplexed genetic sequencing panels is preferred over multiple single-gene tests to identify other treatment options beyond *EGFR*, *ALK*, and *ROS1* [90]. Finally, in Japan, FoundationOne® Liquid CDx was approved in 2021 by the Ministry of Health, Labour and Welfare, and Guardant360® CDx is still waiting for regulatory approval [91,92].

3. Emerging application of liquid biopsy in NSCLC

- Monitoring of treatment response and Minimal Residual Disease

In the new era of personalized medicine, targeted therapy has emerged as a valuable approach for the management of lung cancer patients [93]. Although an increasing number of therapeutic strategies are routinely employed in today’s clinical practice, clinical outcomes still remain poor for advanced lung cancer patients, particularly those in the latest stages of the disease [94]. In this scenario, a small fraction of scant representative analytes derived from tumor cells (ctDNA, ctRNA, CTC, EVs) may be used to signal tumor relapse in torrent blood [94]. A major advantage of this approach is that when standardized functional imaging is unable to measure minimal residual disease (MRD), the identification of these analytes becomes a promising alternative strategy

to treat lung cancer patients quickly and effectively with targeted therapies [95]. This strategy has been borrowed from the management of hematologic malignancies, where MRD analysis is routinely performed with both flow cytometry and molecular techniques to identify relapsed circulating tumor cells and druggable molecular alterations, and to determine a prognostic classification of tumor patients [96,97].

Similarly, ctDNA has been recommended as a reliable biomarker to evaluate MRD in solid tumor patients. In this context, biological parameters, such as very low concentrations of ctDNA in peripheral blood, together with tumor burden and clinical stage, have drastically elicited the diffusion of these biomarkers in clinical practice [1,98]. This has also been made possible by the adoption of ultra-deep technical approaches (ddPCR, NGS). Being highly sensitive to these very low frequency targetable biomarkers, they have improved therapeutic decision-making for patients with solid tumors patients [99]. For example, De Cock et al. designed a highly sensitive ddPCR pentaplex assay able to identify the five most recurrent *EGFR* TKI-sensitizing mutations (exon 19p. E746_A750del, exon 21p.L858R and L861Q, exon 18p.G719S and p. S768I mutation) simultaneously. In addition, a ddPCR triplex assay, highly sensitive for *EGFR* resistance mutations, namely, exon 20p. T790M and p.C797S, was combined with each specific sensitive *EGFR* mutation to monitor molecular residual disease on the basis of the clinical outcomes of n = 6 NSCLC patients. The approach was able to estimate up to 0.25 % of allelic fractions. Moreover, ddPCR revealed acquired resistant alterations (exon 20p.T790M point mutation) in all patients with TKI-sensitive *EGFR* mutations when progressive disease (PD) occurred [100]. In another experience, an ultra-deep NGS approach (CAPP-seq) was applied to evaluate MRD after surgical treatment of localized lung cancer patients. This approach proved to be equally effective in predicting clinical response and in guiding therapeutic decision making in early-stage settings [101]. In particular, plasma samples were collected from a set of n = 40 early stage (IB-III) lung cancer patients at follow-up visits occurring every-two to six

months after initiation of curative-intent first line treatment; surveillance CT or PET/CT scans were also administered. For each sample, a CAPP-seq approach was able to analyze 128 recurrently mutated genes in lung cancer. Moreover, the molecular analysis revealed a high pre-treatment ctDNA detection rate ranging from 89.0 to 100 % compared with the histological classification and metabolic tumor volume (Pearson $r = 0.55$, $P = 0.0004$). Then, to further investigate the efficiency of CAPP-seq of ctDNA for disease surveillance, a longitudinal plasma analysis was carried out in $n = 37$ enrolled patients with detectable pretreatment ctDNA. Remarkably, ctDNA was detected in 20/37 (54.0 %) patients with a comparable distribution between driver and passenger alterations [101]. Patients positive for ctDNA analysis at any post-treatment time point showed a statistically significant lower PFS than negative ctDNA patients, with a median of 5.2 months before radiographic criteria [101].

ctDNA clearance after neoadjuvant chemo-immunotherapy has also been recently demonstrated to predict more favorable outcomes[102]. In addition, ctDNA evidence after surgery and/or adjuvant therapies has been proposed as a potential biomarker for patients requiring post-operative adjuvant immunotherapy. This hypothesis is currently being tested in two clinical trials with adjuvant durvalumab (MERMAID-1 & -2). Furthermore, studies show that the use of ctDNA clearance in patients undergoing immune checkpoint blockage is a potential biomarker not only to select optimal candidates for elective treatment discontinuation after a long-term response [103] but also to facilitate personalized therapeutic strategies during consolidation immunotherapy after chemo-radiotherapy [104].

Despite the many scientifically substantiated advantages, the adoption of ctDNA to detect MRD in clinical practice is hampered by two limitations. The main limitation is the scant amount of target material. As previously mentioned, ultra-deep approaches are needed to ensure a thorough molecular characterization of target genes. To achieve this level of sensitivity, it is essential that rigorous error-proofing methods are incorporated to reduce the chance of assay-based false-positive signals. The secondary limitation is the high probability of confounding findings generated by CH, as discussed previously [105]. In this regard, other integrative solutions are currently being investigated to optimize MRD analysis in advanced tumor patients.

Another exploitable blood-based biomarker is circulating tumor cells (CTCs) Generally, CTCs, which derive from rapid self-renewal tumor processes, may be considered an integrating biological source for monitoring the dynamic changes of clonal tumor cells under specific stimuli [106]. In this setting, in an observational study, Wu et al investigated the potential role of CTCs as an MRD predictive tool by comparing pre- and post-treatment CTC levels in a series of patients with suspicious lung malignancy. Overall, 41 out of 50 patients with a primary lung tumor showed a median cell count of 8.0 (ranging from 0.0 to 74.7 cells/mL) compared with 5/ 51 patients with oligometastatic cancer who showed a median of 7.5 (ranging from 3.0 to 74.5 cells/mL), and with controls who showed a median of 1.0 ranging from 0.0 to 6.0 cells/mL). Interestingly, in all cases, a statistically significant decrease in CTC levels was observed 3 days after surgical treatment (2.3 cells/mL/day in cancer group) with an appreciable difference between 30 out of 41 recurrences (s 2.5 cells/mL/day) and 11 out of 41 non recurrences (1.4 cells/mL/day) [107].

Finally, a promising approach for evaluating MRD in solid tumor patients is the analysis of the methylation status or other epigenetic markers as an integrative tool to track somatic mutations. In this scenario, the use of the Guardant Reveal assay, which detects ctDNA to assess MRD, has proven to be a technically feasible tool for the enrichment of somatic-naïve alterations (epigenetic changes and somatic mutations) in a cohort of colon rectal patients [108,109].

- Lung cancer screening and Cancer Interception

Recent years have witnessed great strides in cancer research and in

finding ways to detect malignant lesions at an early stage of the disease. In particular, thanks to the development of molecularly-driven detection methods, alongside conventional cancer diagnostics, an increasingly number of patients can now benefit from curative therapies based on targeted drugs or immunotherapeutic agents [110–112]. Despite the constant burgeoning of technical strategies, liquid biopsy tests seem to be the most promising tool in this field [110,112,113]. However, the use of liquid biopsy does raise some scepticism among specialists in the field. For instance, the call of only molecular alterations residing in evolutionary tumor cells require technical assays with a high diagnostic sensitivity and specificity for the somatic mutations carried by ctDNA [68,114]. Moreover, the possibility of false positive results makes follow up examinations with expensive high-cost imaging technologies necessary [115]. Lastly, diagnostic sensitivity of liquid biopsy-based tests still remains a challenge owing to the very low abundance of somatic molecular alterations in early-stage cancer patients: [116,117]. This explains why recent research on liquid biopsy has focused primarily on advanced stage cancer patients. In an effort to address some of these issues, Cohen *et al.* have designed and validated the CancerSEEK assay. This assay consists of a wide integrating DNA/protein panel able to detect eight of the most recurrent mutations in the early stages of adult solid tumors [117]. In brief, DNA analysis is performed with a customized multiplex-PCR assay that allows to reduce amplification duplicates in the sequencing phase, while a plasma aliquoting strategy for NGS analysis increases the detection rate for somatic alterations carried by ctDNA (from 41 % to 95 % of most cancer related somatic mutations). Moreover, this protein-based analysis features multiple immunoassays of $n = 41$ cancer-related proteins involved in cancer detection (sensitivities > 10.0 % and specificities > 99.0 %)[117]. To test the validity of this panel, the authors evaluated a set of 1005 stages I, II, and III patients and 812 healthy controls. Remarkably, the assay showed a median sensitivity of 70.0 % (ranging from 30.0 to 88.0 %) and specificity > 99.0 %. Moreover, a high median sensitivity was also reached in all staging groups (ranging from 43.0 % for stage I to 78.0 % for stage III). To further validate their results, they analyzed tissues from 153 patients. Of note, they achieved an overall concordance rate of 90.0 %. Overall, the CancerSEEK study elucidated that although it is technically possible to integrate a plasma-based approach for early diagnosis clinical validation of molecular results still remains technically challenging [117]. As a result, several efforts are being made to identify new blood-based analytes that could integrate ctDNA molecular evaluation [118]. For example, current research is exploring the possibility of using large scale epigenetic modifications as reliable biomarkers while improving the technical sensitivity and specificity of new devices for early-stage lung cancer diagnosis [118]. In this regard, Shen *et al.* developed a highly sensitive immunoprecipitation-based approach able to detect tumor methylation profiles from scant ctDNA input [118]. This system accurately identified tumor-related methylation profiles in different types of tumors [118]. Building on this research aimed at improving the landscape of molecular biomarkers for early-stage cancer detection, one study explored the feasibility of using fragmentomics (ctDNA fragment size distribution), as a method of identifying the molecular fingerprinting of tumor cells[119]. Based on the simultaneous and integrative analysis of genetic and epigenetic modifications (DELFI), this approach was tested on a wide perspective cohort of suspicious lung cancer patients (including benign and malignant lesions) and healthy donors by adopting DELFI to discriminate between patients with lung cancer malignant lesions from those with benign lesions and control [119]. Interestingly, this approach accurately distinguished the non-cancer group (DELFI score 0.16–0.21) from the cancer group (DELFI score 0.35–0.99 according to tumour stage). The performance of this model was also evaluated in combination with the predictive powerful conventional serum-based biomarkers (CEA and other secreted proteins). Similarly, this multivariate approach produced encouraging results (AUC > 80 %) in cancer detection, as evidenced by the lack of any significant differences according to cancer grading and staging [119].

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On the basis of these results, a clinical trial (NCT02889978) called Circulating Cell-free Genome Atlas Study (CCGA) was promoted a few years ago [120]. The primary goal of this ongoing trial is to explore and validate the possibility of combining DNA and methylation profile analysis with a machine learning approach from a single liquid biopsy specimen to detect cancer patients in the early-stage setting [120]. So far, the study has demonstrated an increasing stage related sensitivity (ranging from 39.0 to 92.0 %) in the identification of cancer patients compared to conventional strategies. Interestingly, the trial has also demonstrated that this approach can successfully evaluate the dynamic changes in tumor fragmentation profiles as opposed to traditional approaches. This suggests that the clinical outcome is strongly associated with modifications in the fragmentome profile. Indeed, they have observed a decreased overall survival in patients with a higher DELFI score [119].

10 Nowadays, novel screening criteria are being discussed in view of recent cancer prevention programs approved by various international agencies [121]. Not surprisingly, the last decade has witnessed a growing interest in the identification of molecular signatures to diagnose early-stage cancer patients [122]. The new frontier of cancer prevention is thus based on the identification of biological hallmarks and de-regulated pathways that increase individual cancer risk in healthy people (cancer interception) [123]. In this scenario, what better way to guarantee patients with fast and accurate early cancer screening than by adopting a liquid biopsy approach. Indeed, compared with conventional diagnostic approaches, liquid biopsy is barely invasive. A sample can be obtained by a simple blood draw and can reveal traces of multiple analytes that collectively contribute to cancer development and progression [4]. Starting from a mere liquid biopsy sample, several groups have compared differentially expressed miRNA in lung cancer and control patients to establish tumor-related miRNA panels able to detect cancer at an early stage [124,125]. In addition, other research teams have retrospectively evaluated the analytical performance of miRNA signature classification (MSC) in high-risk subjects [126]. Overall, in this study, MSC yielded a diagnostic sensitivity of 87.0 % in lung cancer patients and 81.0 % in healthy individuals (PPN: 99.0 % and 99.8 %). Similarly, in another large scale validation study, another miRNA panel (miR-Test) was assessed in high risk lung cancer patients [127]. Consistent with the previous results, MSC was highly accurate in identifying high risk patients (overall accuracy, sensitivity, and specificity of 74.9 %, 77.8 %, and 74.8 %, respectively). Interestingly, a direct comparison between these two distinct molecular signatures is being investigated in an ongoing clinical trials. (NCT02247453). So far, the trial has evidenced a reduction in false-positive cases in lung cancer detection in heavy-smoker volunteers subjected to MSC-based first-line screening.

Other studies, instead, have evaluated cancer risk by detecting conventional biomarkers (DNA, RNA) [128]. In detail, a cohort of patients with bronchial premalignant lesions was analysed using RNA-seq; results showed four distinct molecular phenotypes according to the biological pathway and clinical features. Recent development in this area of research has demonstrated that CTCs may also play a key role in improving early detection in lung cancer [12]. Interestingly, Sequist et al. have developed and validated a CTC-based chip as a tool for lung cancer interception [12].

3.1. Liquid biopsy and immunotherapy

Immunotherapy has significantly revolutionized the way cancer patients, particularly NSCLC lacking actionable driver mutations, are clinically managed [129–131]; To date, evaluation of high levels of programmed death-ligand 1 (PD-L1) expression on tumor cell surfaces through immunohistochemistry (IHC) or immunocytochemistry (ICC) remains the most widely used approach to select patients for immune checkpoint inhibitor (ICI) therapy [132,133]. However, recent studies have indicated that patients expressing low levels of PD-L1 (>1%) may

also benefit from ICI treatments: [134,135]. Beyond PD-L1, other biomarkers are currently under investigation. Among these, careful attention is being paid to tumor mutational burden (TMB), that is, the total number of somatic, coding, base substitutions, and short insertions/deletions (indels) per tumor genome [136]. In particular, high TMB (TMB-H) has been correlated with better outcomes for advanced stage NSCLC patients treated with ICIs. In addition, the analysis of TMB in association with PD-L1 may allow the selection of a higher number of patients for ICI administration [137]. However, the analysis of these biomarkers is often hampered by scarce tissue material from advanced stage NSCLC patients [138]. Thus, liquid biopsy emerges once again, as in previous but different settings, a game changer in providing NSCLC patients the best possible treatment option [4,139]. Recent experiences have indeed documented the possibility of analyzing TMB in blood samples, the so called bTMB [140]. Gandara et al. demonstrated the utility of this biomarker as a predictor of drug response by using a retrospective analysis of POPLAR and OAK clinical trials as test and validation studies. bTMB was determined by using an FDA approved 394-gene plasma NGS platform FoundationOne Liquid CDx. High bTMB levels, assessed as ≥ 16 mutations/Mb, were detected, indicating the potential use of this biomarker as a clinically actionable biomarker for atezolizumab in NSCLC [141]. In the wake of these encouraging results, a research team adopted the same assay and cut-off values in the prospective BF1RST (NCT02848651) clinical trial. The aim of this trial was to evaluate the role of bTMB ≥ 16 mutations/Mb in the administration of atezolizumab, regardless of PD-L1 expression. The trial results showed clinical benefit in terms of progression free survival (PFS), objective response rate (ORR), and overall survival (OS). Similar promising data have been reported in the phase III MYSTIC trial by comparing durvalumab \pm tremelimumab with platinum-based chemotherapy. In this clinical trial, the 500-gene panel Guardant OMNI was employed. Overall, by using a bTMB ≥ 20 mutations/Mb, clinically meaningful benefits were reported in the overall PFS, ORR, and OS of patients receiving ICI combination therapies compared to chemotherapy regimens. Interestingly, a slightly higher efficacy was reported with durvalumab alone compared to chemotherapy [142].

The assessment of PD-L1 expression in circulating tumor cells (CTCs) has also been investigated [143]. However, contradictory results have been reported. In particular, Guibert et al demonstrated that although the evaluation of PD-L1 expression in CTCs is feasible, it does not predict the response to nivolumab administration [144]. Conversely, Ilie et al showed that CTC assessment of PD-L1 may be a useful noninvasive tool to evaluate PD-L1 expression in patients with advanced-stage NSCLC [145]. Finally, another surrogate of tissue biopsies is the assessment of PD-L1 expression levels on exosomes extracted from plasma samples to predict ICI response in NSCLC patients [146].

3.2. Liquid biopsy and inclusion in clinical trials

Liquid biopsy has significantly modified the way clinical trials are carried out. A number of different clinical trials have adopted liquid biopsy as an inclusion criterion to select advanced stage NSCLC patients [147,148]. These studies include early detection, diagnosis, treatments, and evaluation of minimal residual disease (MRD) of advanced stage NSCLC patients. The wide diffusion of liquid biopsy within clinical trials derives from the minimally invasiveness and reproducibility of liquid biopsy sampling, which enables a dynamic evaluation of the evolution of NSCLC [149]. For example, one study evaluated ctDNA in a subset of patients in a phase 2 clinical trial study of tepotinib in advanced stage NSCLC patients harboring *MET* exon 14 skipping mutations [150]. In an ongoing phase II clinical trial, the MELROSE study, ctDNA analysis is being used to study the mechanisms of resistance to osimertinib in non-pretreated advanced *EGFR* mutated NSCLC patients [151]. In several other clinical trials, ctDNA analysis is being widely adopted for early detection and monitoring of NSCLC evolution [101,114,151]. In this scenario, a paradigm shift from traditional "tissue biopsy-based" trials

59

toward “liquid biopsy-based” trials has been crucial to minimize the absence of histological specimens as a barrier to the enrollment of advanced stage NSCLC patients.

4. Future perspectives

The three-dimensional nature of Liquid Biopsy: DNA, RNA and proteins.

Nowadays the use of a simple blood test is rapidly emerging as an excellent screening approach in preventive, predictive, and personalized medicine. As stated in the NILE study, its growing popularity is due to its ability to provide multifunctional biomarkers through a simple blood draw without the need to sacrifice any precious and scant tissue material. Undoubtedly, tissue samples are still the gold standard material to evaluate gene fusions and PD-L1 expression in clinical practice [29]. However, critical issues related to tissue scarce availability in certain types of cancers advocate major changes to improve cancer diagnosis and management. Among these is the use of circulating- tumour RNA (ctRNA) extracted from exosomes. In a preliminary study, Reclusa et al. demonstrated the feasibility of ctRNA extracted from extracellular vesicles in the analysis of *ALK* gene rearrangements [152]. Additionally, Raez et al. used cRNA analysis to define the expression profile of PD-L1 to predict clinical responses to ICIs. (<http://dx.doi.org/10.20517/edr.2021.78>) Similarly, other studies are investigating the possibility of evaluating PD-L1 expression in circulating tumour cells [143] and in extracellular vesicles [153].

4.1. TNM(b)

To date, Generally, lung cancer patients are diagnosed according to the TNM classification system of malignant tumors—a widely adopted criteria for staging metastasized lung cancers [154]. In brief, the classification stages lung cancer patients into four groups (I, II, III, and IV) to for diagnostic, prognostic, and selective treatment purposes and treatment. Patients who fall in the stage IV group have distant metastases. However, as technological advances continue to deepen our understanding of the molecular hallmarks of cancer, and as the application of new cancer screening approaches, like liquid biopsy, continues to gain more momentum in clinical practice, [155] the current histological-based categorization system needs to be modified to keep up with recent advances [156]. In this regard, recent research has proposed the feasibility of adopting a blood TNM (bTNM) system as an integrative approach for the prognostic and therapeutic implications of ctDNA in lung cancer settings. According to this classification, patients are classified either as, “B0”, which indicates the absence of ctDNA, or as “B1”, which indicates the presence of detectable levels of ctDNA [63,157,158]. This “blood-biomarker” based classification system may prove to be a useful guide for the comprehensive management of lung cancer patients.

4.2. Artificial intelligence in liquid biopsy

Another highly promising field of investigation is to combine liquid biopsy and artificial intelligence to improve lung cancer early detection, as previously discussed [38].

In another experience, a machine-learning tool, namely ‘lung cancer likelihood in plasma’ (Lung-CLiP), has shown a great ability to discriminate early-stage lung cancer patients from risk-matched controls. (82).

5. Conclusion

Liquid Biopsy is dramatically transformin lung cancer research and practice. The landscape is rapidly evolving, shifting from established applications, like ctDNA mutational analysis in metastatic settings to the simultaneous characterization of different analytes (such as ctDNA

mutations, methylation status, protein levels) [159–161]. The rationale behind these changes is the quest toward intercepting tumor growth before it metastasizes. However, to fully exploit this elegant and powerful tool in clinical practice, concerted efforts should be made to standardize all the phases of liquid biopsy analysis. Moreover, educational programs should be organized in an attempt to overturn the linger skepticism surrounding the remarkable advantages of this valuable tool for cancer care.

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CRediT authorship contribution statement

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Declaration of Competing Interest

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: Umberto Malapelle has received personal fees (as consultant and/or speaker bureau) from Boehringer Ingelheim, Roche, MSD, Amgen, Thermo Fisher Scientifics, Eli Lilly, Diaceutics, GSK, Merck and AstraZeneca, Janssen, Diatech, Novartis and Hedera unrelated to the current work. Pasquale Pisapia has received personal fees as speaker bureau from Novartis, for work performed outside of the current study. Alessandro Russo reports advisory board role/consultancy for AstraZeneca, Novartis, Pfizer and MSD, unrelated to the current work. Christian Rolfo reports grants from Pfizer and MSD, consulting fees from Archer, Inivata, BMS, Novartis, Boston Pharmaceuticals, MD Serono; other from AstraZeneca, Roche, GuardantHealth, and MSD; safety monitoring board for MD Serono; leadership roles with ISLB, IASLC, ESO and ESMO; other support from GuardantHealth, unrelated to the current work. Giancarlo Troncone reports personal fees (as speaker bureau or advisor) from Roche, MSD, Pfizer, Boehringer Ingelheim, Eli Lilly, BMS, GSK, Menarini, AstraZeneca, Amgen and Bayer, unrelated to the current work. The other Authors have nothing to disclose.

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